Express Mail Mailing bel No. EL661683429US

UTILITY	Attorney Docket No.	PTK-194
PATENT APPLICATION	First Named Inventor	John Gary Sousa et al.
TRANSMITTAL	Title	MODE-LIMITING DIODE LASER STRUCTURE
for new nonprovisional applications under 37 CFR 1.53(b))		l ∟

	IKAMSMIIIAL	Title		MODE-LIMITING	G DIODE LASER STRU	JCTURE
(Only for	new nonprovisional applications under 37 CFR 1.53(b))					~? ?
	APPLICATION ELEMENTS	A	DDRESS		Application er for Patents	o, C
				Washington,		200
1. 🛛	Fee Transmittal Form			<u> </u>		20
2.	Small Entity Status					3 6
	Applicant claims small entity status		4	ACCOMPANYING	APPLICATION PART	rs =
	Status established in prior application and is	still				
2 157	proper and desired		3. 🔲 3	7 (CED 2 72(b) States	ment (when there is an a	naianna)
3. 🛛	Specification and Drawings [Total Pages 20] - Written Description - (15 pages)	٥	. Ш э	_		ssignee)
	- Claims - (2 pages)			_ rower or recon-	ic)	
	- Abstract - (1 page)	9	D. 📗 Е	nglish Translation D	ocument (if applicable)	-
	- Sheets of Drawings - (2 sheets)					
	Formal	10	0. 🔲 🛚 Լո		e Statement (IDS)/PTO-	1449
4 🗖	Informal [Table 1]		<u> </u>	Copies of IDS C		
4. 🗌	Oath or Declaration [Total Pages] a. Newly executed (original)	11	1. 🔲 P	reliminary Amendme Drawings [Total		
	b. Copy from a prior application (37 CFR		F		l Draftsperson Including	y
	1.63(d))		_	Drawings [Total		•
1	(for continuation/divisional with Box 17 comp					
	[Note Box 5 below]	12	2. 🛛 R	eturn Receipt Postca	rd	
5. 🗌	Incorporation by Reference (usable if Box 4b is che	cked) 13	3. 🔲 C	Certified Copy of Prio	rity Document(s)	
	The entire Disclosure of the prior application, fro	, i	_	• •	• ()	
	which a copy of the oath or declaration is supplied					
	under Box 4b, is considered as being part of the			Deletion of Inventor(s		
	disclosure of the accompanying application and inhereby incorporated by reference therein.	IS	Signed statement attached deleting inventor(s) named in the prior application.			
6. 🛛	Application Data Sheet	1.5			rge table or computer pro	ogram.
7.	Nucleotide and/or Amino Acid Sequence Submis			ther:	<u> </u>	
	Computer Readable Copy					
	Paper Copy (identical to computer copy)					
	CD (identical to computer copy)					
15	Statement verifying identity of above cop		ian karin		form the first line, the sec	******
17. ∐ This i	If a CONTINUING APPLICATION, amend t	ne specificai	non by in	serting on page 1, be	fore the first line, the sei	ntence:
11113 1		ntinuation-in	-part of p	rior application Seria	al No. / , file	d on , , the
entire d	isclosure of which is incorporated by reference he			••	•	, ,
	Priority to the above application(s) is claim					
10 57	Prior application information: Examiner:	. Grou	up/Art Un	it:		
	Priority - 35 U.S.C. 119 ☐ Priority of application Serial No. 60/193,751	filed on Ma	erch 31 2	001 in the United Sta	ites is claimed under 35	IISC 119
ł	The certified copy has been filed in	prior U.S. ar	pplication	Serial No. /	on .	0.0.0. 117.
	The certified copy will follow.	•	•			
	CORRESPONDENCE ADDRESS			SIGNA	ΓURE BL ∳ CK	
Direct a	all correspondence to: Patent Administrator				Respectfully submit	ted,
	Testa, Hurwitz & Thibea	uit, LLP	Date: N	/arch 30, 2001	Zha! In	
	High Street Tower 125 High Street		Reg. No	5. 33,497	Steven J. Frank	
	Boston, MA 02110			.: (617) 310-8108	Attorney for Applicar	
	Tel. No.: (617) 248-7000)	Fax No.	.: (617) 248-7100	Testa, Hurwitz & Thi	beault, LLP
	Fax No.: (617) 248-7100)			High Street Tower 125 High Street	
					Boston, MA 02110	

DOBETOLE OTEODI

FEE TRANSMITTAL FY 2001

	Compile
Application Serial Number	Not yet assigned
Filing Date	March 30, 2001
First Named Inventor	John Gary Sousa et al.
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket No.	PTK-194

METHOD OF PAYMENT	FEE CALCULATION (continued)				
1. A Payment Enclosed:	3. ADDITIONAL FEES				
☐ Check ☐ Money Order ☐ Other	Large	Small			
	Entity	Entity			
2. The Commissioner is hereby authorized to credit	Fee	Fee	Fee Description	Fee Paid	
or charge any fee indicated below for this submission	(\$)	(\$)			
to Deposit Account No. 20-0531.	170		6 1 1 GP 6 4 1		
Required Fees (copy of this sheet enclosed).	130	65	Surcharge - late filing fee or oath		
Additional fee required under 37 CFR 1.16 and	50	25	Surcharge - late provisional filing fee or cover sheet		
1.17.	120	120			
Overpayment Credit.	130	130	Non-English specification		
3. Applicant claims small entity status.	2,520	2,520	For filing a request for reexamination		
FEE CALCULATION	110	55	Extension for reply within first month		
1. FILING FEE	390	195	Extension for reply within second		
1. 110.110120			month		
Large Entity	890	445	Extension for reply within third month		
Fee (\$) Fee Description Fee Paid	1,390	695	Extension for reply within fourth month		
	1,890	945	Extension for reply within fifth month		
710 Utility filing fee 710.00	310	155	Notice of Appeal		
320 Design filing fee	310	155	Filing a brief in support of an appeal		
150 Provisional filing fee	270	135	Request for oral hearing		
	130	130	Petitions to the Commissioner		
N. I. N. I. But. Amount	50	50	Petitions related to provisional		
Number Number Rate Amount Filed Extra	180	180	applications Submission of Information Disclosure		
Total Claims $8 - 20 = 0$ $x $ 18.00 = 0$	160	100	Statement		
10th Chairs 6 120 - 0 X \$ 10.00 - 0	710	355	Filing a submission after final		
T-44	710	255	rejection (37 CFR 1.129(a)) For each additional invention to be		
Independent Claims 1 - 3 = 0 x \$ 80.00 = 0	/10	355	examined (37 CFR 1.129(b))		
-3 <u>u</u> x u 00.00	Other fee (Sp	ecify)	chammed (57 Of R 1.125(0))		
☐ Multiple Dependent Claim(s), if any \$270.00 =	Other fee (Sp	• /			
			•		
TOTAL:					
SMALL ENTITY DISCOUNT:					
SUBTOTAL (I) (\$) 710.00 2. AMENDMENT CLAIM FEES					
Claims Highest No. Present Rate Fee Paid			SUBTOTAL (3) (\$)		
Remaining Previously Extra			SUBTOTAL (3)		
After Amend. Paid For					
			aummama F	710.00	
Total - = x \$ 18.00 =			SUBTOTAL (1)	710.00	
Indep. = $x \$ 80.00 =$ First Presentation of Multiple Dep. + \$270.00 =			SUBTOTAL (2) SUBTOTAL (3)		
Claim + \$270.00 =			30B101AL(3)		
TOTAL: (\$)			L		
SMALL ENTITY DISCOUNT: (\$)					
SUBTOTAL (2) (\$)			TOTAL (\$)	710.00	
CORRESPONDENCE ADDRESS	SIGNATURE BLOCK				
Direct all correspondence to:	Respectfully submitted,				
Patent Administrator	_		The state of the s		
Testa, Hurwitz & Thibeault, LLP	Date: March		-/ (lim) IV	<u> </u>	
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			DUSION, IVIA UZITU		